PATE LINE IN MOE

| TRANSMITTAL FORM   |                     |   | Application No.                               | 10/695,724  |  |  |  |  |  |
|--|---------------------|---|---|---|--|--|--|--|--|
|  |                     |   | Filing Date                                   | October 28, 2003  |  |  |  |  |  |
| (to be used for all correspondence after initial filing)   |                     |   | First Named Inventor                          | Eric Frayssinet   |  |  |  |  |  |
|  |                     |   | Art Unit                                      |   |  |  |  |  |  |
|  |                     |   | Examiner Name                                 | Rori Burch  |  |  |  |  |  |
| Total Number of Pages in This Submission 5   |                     |   | Attorney Docket Number                        | 15675P314CX   |  |  |  |  |  |
| ENCLOSURES (check all that apply)  |                     |   |   |   |  |  |  |  |  |
| Fee Transmittal  | Form                | Drawing(s                                     | )   | After Allowance Communication to Group                            |  |  |  |  |  |
| Fee Attac  | ned                 | Licensing-r                                   | elated Papers                                 | Appeal Communication to Board of Appeals and Interferences        |  |  |  |  |  |
| Amendment / Re   | esponse             | Petition                                      |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |  |  |
| After Fina   | l<br>declaration(s) | Petition to 0<br>Provisional                  | Convert a<br>Application                      | Proprietary Information   |  |  |  |  |  |
| Extension of Time Request  |                     | Power of A Change of                          | ttorney, Revocation<br>Солтеspondence Address | Status Letter   |  |  |  |  |  |
| Express Abandonment Request  |                     | Terminal C                                    | Disclaimer                                    | Other Enclosure(s) (please identify below):                       |  |  |  |  |  |
| Information Disc   | losure Statement    | Request for                                   | Refund  | See REMARKS below; and Return postcard enclosed                   |  |  |  |  |  |
| PTO/SB/08  |                     | CD, Numbe                                     | er of CD(s)                                   |   |  |  |  |  |  |
| Certified Copy of Priority Document(s)   |                     |   |   |   |  |  |  |  |  |
| Response to Missing Parts/<br>Incomplete Application   |                     | Remarks                                       |   |   |  |  |  |  |  |
| Basic Filing Fee  Dedaration/POA   |                     | (1) REQUEST FOR REFUND (1 PAGE, IN DUPLICATE) |   |   |  |  |  |  |  |
| Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53  |                     |   |   |   |  |  |  |  |  |
|  | SIGNATUR            | E OF APPLICA                                  | NT, ATTORNEY, OR A                            | GENT  |  |  |  |  |  |
| Firm   | Eric S. Hyman       | , Reg. No. 30                                 | ,139  |   |  |  |  |  |  |
| Individual name  | or                  |   |   |   |  |  |  |  |  |
| Signature  |                     |   |   |   |  |  |  |  |  |
| Date   | Date (1/03)         |   |   |   |  |  |  |  |  |
|  |                     |   | .ING/TRANSMISSION                             |   |  |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Attn: Refunds, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |                     |   |   |   |  |  |  |  |  |
| Typed or printed name Linda D'Elia   |                     |   |   |   |  |  |  |  |  |
| Signature  | Moda                | 1) 6  | D   | Date December 5, 2003   |  |  |  |  |  |

PATERING

| CEE TO A NOMITTAL   |                    |                             | Complete if Known |                  |  |  |  |
|---|--------------------|-----------------------------|-------------------|------------------|--|--|--|
| FEE TRANSMITTAL   | Application Number |                             |                   | nber             | 10/695,724   |  |  |
| for FY 2003   | Filing Date        |                             |                   | October 28, 2003 |  |  |  |
| Effective 01/01/2003. Patent fees are subject to annual revision.                                 |                    | First Named Inventor        |                   |                  | Eric Frayssinet  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27.  |                    | Examiner Name F             |                   |                  | Rori Burch (13 Fig. 1 2 i  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$)  | . 00               | Group/Ar                    |                   |                  | 0.50   |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 0  | .00                | Attomey                     | Jocke             | t No.            | 15675P314CX  |  |  |
| METHOD OF PAYMENT (check all that apply)  |                    | FEE CALCULATION (continued) |                   |                  |  |  |  |
| Check Credit card Money Other None  | 3.                 | 3. ADDITIONAL FEES          |                   |                  |  |  |  |
| Deposit Account   | La                 | Large Entity   Small Entity |                   |                  |  |  |  |
|   | Fe                 |                             | Fee               | Fee              |  |  |  |
| Deposit<br>Account 02-2666  | Cod                | de (\$)                     | Code              | (5)              | Fee Description Fee Paid   |  |  |
| Number 02-2000  | 105                |                             | 2051              | 65               | Surcharge - late filing fee or oath                              |  |  |
| Deposit Account D1 1 1 C 1 1 C 7 1 0 7 C 1 1 P  | 105                | 2 50                        | 2052              | 25               | Sumharge - late provisional filing fee or cover sheet.           |  |  |
| Name Blakely, Sokoloff, Taylor & Zafman LLP   | 205                | 3 130                       | 2053              | 130              | Non-English specification  |  |  |
| The Commissioner is authorized to: ( check all that apply)  | 181                | -                           | 1812              | 2,520            | For filing a request for ex parte reexamination                  |  |  |
| Charge fee(s) indicated below   | 180                | y 920 °                     | 1804              | 920              | Requesting publication of SIR prior to     Examiner action       |  |  |
|   |                    |                             | İ                 |                  | Examiner action  |  |  |
|   | 180                | 5 1,840 *                   | 1805              | 1,840            | Requesting publication of SIR after<br>Examiner action           |  |  |
| Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account | 125                | 1 110                       | 2251              | 55               | Extension for reply within first month                           |  |  |
| FEE CALCULATION   | 125                |                             | 2252              | 210              | Extension for reply within second month                          |  |  |
| 1. BASIC FILING FEE   | 125                |                             | 2253              | 475              | Extension for reply within third month                           |  |  |
| Large Entity   Small Entity   | 125                |                             | 2254              | 740              | Extension for reply within fourth month                          |  |  |
| Fee Fee Fee Fee Description FeePin  | 125                |                             | 2255              | 605              | Extension for reply within fifth month                           |  |  |
| Code (\$) Code (\$)   | 140                |                             | 2401              | 165              | Notice of Appeal   |  |  |
| 1001 770 2001 385 Utility filing fee  | 140                |                             | 2402              | 165              | Filing a brief in support of an appeal                           |  |  |
| 1002 340 2002 170 Design filing fee   | 140                | _                           | 2403              | 145              | Request for oral hearing   |  |  |
| 1003 530 2003 265 Plant filing fee  | 145                |                             | 2451              | 1,510            | Petition to institute a public use proceeding                    |  |  |
| 1004 770 2004 385 Reissue filing fee  | 145                |                             | 2452              | 56               | Petition to revive - unavoidable                                 |  |  |
| 1005 160 2005 80 Provisional filing fee   | 145                | _                           | 2453              | 665              | Petition to revive - unintentional                               |  |  |
| SUBTOTAL (1) (\$)   |                    |                             | 2501              | 665              | Utility issue fee (or reissue)                                   |  |  |
| 2. EXTRA CLAIM FEES Extra Fee from  | 150<br>150         |                             | 2502              | 240              | Design issue fee   |  |  |
| Claims below FeePad   | 150                | 3 640                       | 2503              | 320              | Plant issue fee  |  |  |
| otal Claims 20 _ 20 = 0 x 18.00 = \$0.00  | 146                | 0 130                       | 2460              | 130              | Petitions to the Commissioner                                    |  |  |
| ndependent 1 3 = 0 x 86.00 = \$0.00   | 180                |                             | 1807              | 50               | Prosessing fee under 37 CFR 1.17(q)                              |  |  |
| Multiple Dependent =  | 180                |                             | 1806              | 180              | Submission of Information Disclosure Stmt                        |  |  |
| Large Entity   Small Entity   | 802                |                             | 8021              | 40               | Recording each patent assignment per                             |  |  |
| Fee Fee Fee Fee Description   |                    |                             |                   |                  | property (times number of properties)                            |  |  |
| Code (\$) Code (\$)   | 180                | 9 770                       | 1809              | 385              | Filing a submission after final rejection (37 CFR § 1.129(a))    |  |  |
| 1202 18 2202 9 Claims in excess of 20   | 404                | ,                           | 2010              | 205              | (37 CFR § 1.129(a))  |  |  |
| 1201 86 2201 43 Independent claims in excess of 3   | 1810               | 770                         | 2810              | 385              | For each additional invention to be examined (37 CFR § 1.129(b)) |  |  |
| 1203 290 2203 145 Multiple Dependent claim, if not paid   | 180                | 1 770                       | 2801              | 385              | Request for Continued Examination (RCE)                          |  |  |
| 1204 86 2204 43 **Reissue independent daims over original patent                                  | 1802               |                             | 1802              | 900              | Request for expedited examination                                |  |  |
| 1205 18 2205 9 "Reissue claims in excess of 20 and over   |                    | 3                           | , [               |                  | of a design application  |  |  |
| original patent   | Other              | Other fee (specify)         |                   |                  |  |  |  |
| SUBTOTAL (2) (\$) 0.00  |                    |                             |                   |                  |  |  |  |
| **or number previously paid, if greater, For Reissues, see below                                  | * Redu             | oed by Basic Fi             | ing Fee           | Paid             | SUBTOTAL (3)   |  |  |
| SUBMITTED BY Complete (if applicable)   |                    |                             |                   |                  |  |  |  |
|   | , ,                | Registratio                 | n No.             | 1_               |  |  |  |
| Name (Print/Type) Eric S. Hyman (Attorney/Agent) 30,139 Telephone (310) 207-3800                  |                    |                             |                   |                  |  |  |  |
| Signature Date 12 Hz 2  |                    |                             |                   |                  | Date 12 3/s 2  |  |  |
|   | $\sqrt{2}$         |                             |                   |                  | 150.0  |  |  |

Based on PTC/SB/17 (08-03) as modified by Blakely, Solokoff, Teylor & Zafman (м/r) 08/11/2003 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 15675P314CX

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ERIC FRAYSSINET, ET AL.

Application No.: 10/695,724

Filing Date:

October 28, 2003

For:

METHOD FOR PRODUCING A GALLIUM

NITRIDE EPITAXIAL LAYER

Art Group:

Examiner:

Rori Burch

## REQUEST FOR REFUND

Commissioner of Patents PO Box 1450 Alexandria, Virginia 22313-1450

ATTENTION: REFUND SECTION, ACCOUNTING DIVISION OFFICE OF FINANCE

Sir:

The assignee of record is entitled to small entity status. Accordingly, request for refund of a (\$385) overpayment of the Filing Fee is requested. Please credit Deposit Account 02-2666. A duplicate of this letter is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: December 5, 2003

Los Angeles, California 90025

12400 Wilshire Boulevard

Eric S. Hyman

Reg. No. 30,139

CERTIFICATE OF MAILING:

I hereby certify that this correspondence is being deposited with the United Sta Postal Service as first class Mail, With Sufficient Postage, In An Envelope Addressed To: Attn: REFUND SECTION, ACCOUNTING DIVISION, OFFICE OF FINANCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-

Linda Marie D'Elia

December 5, 2003

ESH/Imd

Seventh Floor

(310) 207-3800

Facsimile Message Only 1.703.308.5077

Applicant:

David W. Wang et al.

Serial No.: 10/143,362

Filing Date: June 9, 2002 Examiner: Unassigned

Group Art Unit: 2874

Attorney Docket No.: 60617.301001

Title: FREQUENCY DETECTION, TUNING AND STABILIZATION SYSTEM

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner of Patents

P.O. Box 1450

Alexandria VA 22313-1450

Attention:

Refund Section, Accounting Division

Office of Finance

## REQUEST FOR REFUND (37 C.F.R. § 128(a))

On October 23, 2003 a Request for Continued Examination (RCE) was filed. Applicant claimed small entity status in the parent application filed 5/9/02, but was charged a large entity filing fee for the RCE.

B.

Refund Request

We authorized a Deposit Account charge of \$385.00.

C.

Fees paid for which refund requested

The USPTO charged our Deposit Account 08-3240 on October 31, 2003,

\$770.00 filing fee.

D.

Total Refund Requested ...

E.

Manner of refund

Please credit Account No. 08-3240

Date: November 18, 2003

Allomey: RAYMOND E. ROBERTS

Attorney Reg. No.: 38,597

IPLO Intellectual Property Law Offices 1901 S. Bascom Avenue, Suite 660 Campbell, California 95008 Tel: (408) 5\$8-9950

Fax: (408) 558-9960

Adjustment date: 12/08/2003 EEKUBAY1 10/31/2003 CWILLS 00000009 083240 01 FC:1801 770.00 CR

10143362

12/08/2003 EEKUBAY1 00000009 083240 10143362

01 FC:2801.

385.00 DA